ETHICS AGREEMENT COMPLIANCE CERTIFICATION
ADDITIONAL CERTIFICATION

Senate Confirmed Presidential Appointee

1. Appointee's Information	
a. Appointee's Name:	
b. Position Title:	
c. Agency:	
d. Date Ethics Agreement Signed:	
e. Date Confirmed:	
f. Due Date for Submission of this Certification to OGE:	
g. Signature Date(s) for Prior Certification(s) of Ethics Agreement Compliance:	
h. Additional Due Date(s) (if applicable):	
2. Divestitures	
a. For the divestitures addressed by this Certification, I completed the divestitures indicated in my ethics agreement within the time period specified.	◯ Yes ◯ No
b. I have filed a periodic transaction report, or periodic transaction reports (OGE Form 278-T), to disclose the completion of these agreed upon divestitures.	◯ Yes ◯ No Filing Date(s) of OGE Form 278-T(s):
c. I completed the divestitures through a means not requiring a periodic transaction report (OGE Form 278-T).	◯ Yes ◯ No
d. I understand that I may not reacquire these assets during my appointment without OGE's prior approval.	◯ Yes ◯ No

3. Interim Recusals	
I have complied with my interim recusal obligations pending the divestitures required by my ethics agreement.	◯ Yes ◯ No ◯ N/A
4. Additional Ethics Agreement Requirements	
	I am complying with these requirements as described in the adjacent box. ◯ Yes ◯ No ◯ N/A
5. Comments of Appointee	
6. Certification **Any intentionally false or misleading statement or response provided in this Certification is a violation of law punishable by a fine or imprisonment, or both, under 18 U.S.C. § 1001.**	
I certify that the information I have provided is complete and accurate.	
Appointee's Signature:	Date:
7. Agency Comments	
8. OGE Comments	

A. Privacy Act Statement

5 U.S.C. § 13111 requires the reporting of this information. The primary use of the information on this report is for review by Government officials to determine an appointee's compliance with applicable Federal laws and regulations, and the appointee's Ethics Agreement. Failure to provide the requested information may result in notification of lack of compliance to the Senate and the head of the appointee's agency, or the White House, and/or could result in OGE declining to certify the appointee's financial disclosure reports. The appointee could also be required to recuse from matters based on uncertainty as to whether they have divested or resigned from a matter determined to raise potential conflicts.

This information will be publicly posted to the OGE website at www.oge.gov in accordance with OGE/GOVT-1, Executive Branch Personnel Public Financial Disclosure Reports and Other Name-Retrieved Ethics Program Records (routine use "k."). Please see OGE/GOVT-1 for more information about the maintenance and disclosure of this information.

B. Filing the Certification of Ethics Agreement Compliance

Your position as one of our Government's most senior leaders brings with it extraordinary responsibilities. One of those responsibilities is to serve as a role model for all executive branch employees by demonstrating a commitment to ethical principles and actively seeking to avoid conflicts of interest. This includes compliance with the Ethics Agreement you signed prior to and as a condition of your confirmation. By signing this Certification of Ethics Agreement Compliance (Certification) you certify that you have complied with the terms of your Ethics Agreement. The information below is intended to help you timely meet your obligation to complete and submit this form to the U.S. Office of Government Ethics (OGE).

You are required to comply with the provisions of your Ethics Agreement within three months of the date of your confirmation, unless otherwise specified. Please:

- Review your Ethics Agreement carefully.
- If your Ethics Agreement requires you to resign from an outside position, please note that resignations are generally required "upon confirmation."
- Identify any provisions of your Ethics Agreement that may require coordination with an account manager or other third party.
- Initiate any necessary action early enough to timely comply with your Ethics Agreement.
- If you qualify for and wish to request a Certificate of Divestiture, please submit your request as soon as possible through your agency's Designated Agency Ethics Official (DAEO).

If your Ethics Agreement specifies that you have more than 90 days to comply with a particular provision, you must still comply with the remaining provisions within the standard

90 day period and submit a Certification (through your agency's DAEO) within seven days thereafter. If you have not complied with a provision of your Ethics Agreement, please provide an explanation in Box 5, Comments of Appointee. You will be provided with an additional Certification to complete and submit to OGE to certify that you have complied with all provisions of your ethics agreement.

Extensions: OGE may grant an extension of time for complying with a provision of your Ethics Agreement if, in its sole discretion, it determines that an unusual hardship has/will prevent you from complying timely. Generally, waiting for an account manager to sell an interest, not being able to locate records, or waiting for OGE to process a request for a Certificate of Divestiture are not considered unusual hardships. As noted in the Privacy Act Statement above, not submitting your Certification may result in notification of lack of compliance to the Senate, the head of your agency, or the White House. OGE may also decline to certify your financial disclosure reports. You may also be required to recuse from matters based on uncertainty as to whether you have completed required divestitures and resignations. OGE may also post a notice on its website, in place of your Certification, stating that you have not yet submitted the required Certification.

While it is your personal responsibility to take the steps necessary to comply with your Ethics Agreement, your agency's DAEO is available to assist you in completing this Certification and submitting it to OGE. Any questions you may have should be directed to your agency's DAEO.